

Attorney Docket No.: 080398.P245

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

BRANT L. CANDELORE

Application No.: Unassigned

Filed: October 29, 1999

For: **COPY-PROTECTING MANAGEMENT  
USING A USER SCRAMBLING KEY**

Art Group: Unassigned

Examiner: Unassigned

RECEIVED  
FEB 09 2000  
Group 2700

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

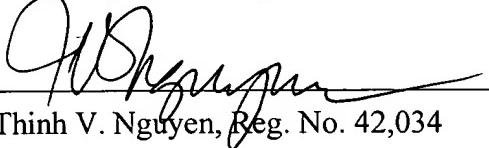
Sir:

In accordance with his duty of disclosure under 37 CFR § 1.56 and in accordance with 37 CFR § 1.97(b), Applicant submits the enclosed Form PTO-1449, including copies of the cited art listed therein.

Applicant contends that the cited art does not preclude the patentability of the present invention because the cited art neither anticipates nor renders obvious the present invention.

Respectfully submitted,

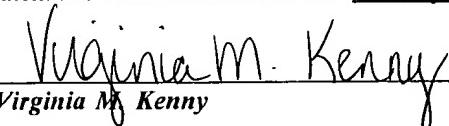
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

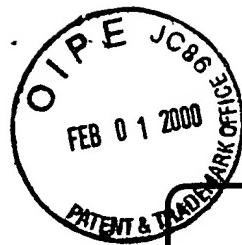
  
Thinh V. Nguyen, Reg. No. 42,034

Date: January 28, 2000

12400 Wilshire Blvd., 7th Floor  
Los Angeles, California 90025  
Telephone: (714) 557-3800

**CERTIFICATE OF MAILING**  
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 to the Patent and Trademark Office on: January 28, 2000.

  
Virginia M. Kenny  
Date 01/28/00



09/430.043

2466

PTO/SB/21 (12/97)

Approved for use through 9/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission	13	Application Number	Unassigned
		Filing Date	October 29, 1999
		First Named Inventor	Brant L. Candelore
		Group Art Unit	Unassigned
		Examiner Name	Unassigned
		Attorney Docket Number	080398.P245

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### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final Affidavits/declaration(s)	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Eight (8) references
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Thinh V. Nguyen, Reg. No. 42,034  BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	January 28, 2000

### CERTIFICATE OF MAILING/TRANSMISSION

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January 28, 2000

Typed or printed name	Virginia M. Kenny
Signature	
Date	01/28/00

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O P E J C 86  
Please type a plus sign (+) inside this box → +

PTO/SB/17 (6/99)

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# FEE TRANSMITTAL for FY 1999

*Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity  
statement, otherwise large entity fees must be paid. See Forms  
See 37 CFR §§ 1.27 and 1.28.*

TOTAL AMOUNT OF PAYMENT

( \$ )

## Complete if Known

Application Number	Unassigned
Filing Date	October 29, 1999
First Named Inventor	Brant L. Candelore
Examiner Name	Unassigned
Group/Art Unit	Unassigned
Attorney Docket Number	080398.P245

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

02-2666

Deposit Account Name

Blakely, Sokoloff, Taylor & Zafman LLP

Charge Any Additional Fees Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

2.  Payment Enclosed:

Check     Money Order     Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity    Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	690	201	345	Utility filing fee	
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)    ( \$ )

### 2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Extra Claims	Fee from below	Fee Paid

\*or number previously paid, if greater. For Reissues, see below

Large Entity    Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple Dependent claim, if not paid
109	78	209	39	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)    ( \$ )

### 3. ADDITIONAL FEE

Large Entity    Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for reexamination
112	920 *	112	920	*Requesting publication of SIR prior to Examiner action
113	1,840 *	113	1,840	*Requesting publication of SIR after Examiner action
115	110	215	55	Extension for response within first month
116	380	216	190	Extension for response within second month
117	870	217	435	Extension for response within third month
118	1,210	218	680	Extension for response within fourth month
128	1,850	228	925	Extension for response within fifth month
119	300	219	150	Notice of Appeal
120	300	220	150	Filing a brief in support of an appeal
121	260	221	130	Request for oral hearing
138	1,510	138	1510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidable
141	1,210	241	605	Petition to revive - unintentional
142	1,210	242	605	Utility issue fee (or reissue)
143	430	243	215	Design issue fee
144	580	244	290	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Petitions related to provisional applications
126	240	126	240	Submission of Information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))
Other fee (specify)				
Other fee (specify)				

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)    ( \$ )

SUBMITTED BY    Complete (if applicable)

Typed or Printed Name	Thinh V. Nguyen	Reg. Number	42,034
Signature		Date	01/28/00

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